# SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL) BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT July-17

#### SUBJECT ACTION CHARGING SECTIONS

SUBJECT	ACTION	CHARGING SECTIONS
Scott Zeilinger	Desist and Refrain Order	Unlawful Offer and Sale of
Globe Protect, Incorporated		Securities
	July 3, 2017	
Danville, California		(Corporations Code Section
		25401)
Doorae Capital, LLC	Citation	Unlawful Finance Lender Law
		Activity
Los Angeles, California	Order Rescinding Order Summarily	
	Revoking California Finance	(Financial Code Sections
	Lenders License	22707.5 and 22715)
	July 6, 2017	

SOBJECT	ACTION	CHAROLING SECTIONS
PMC Direct, Inc.	Statement of Issues in Support of	Unlawful Finance Lenders Law
	Order Denying	Activity
Morgan Hill, California		
5	July 6, 2017	(Financial Code Section
		22109)
Allied Home Mortgage Corporation aka	Order Revoking Residential	Unlawful Residential Mortgage
Allquest Home Mortgage Corporation	Mortgage Lender License	Lending Activity
Houston, Texas	July 6, 2017	(Financial Code Sections
Trouston, Texas	July 0, 2017	50311 and 50327)
		30311 and 30327)
NorthMarq Capital Finance, L.L.C.	Notice of Petitions for Reinstatement	Unlawful Finance Lenders Law
NorthMarq Finance, L.L.C.		Activity
•	July 6, 2017	
Bloomington, Minnesota		(Government Code Section
2 /		11522)
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Andres Eugenio Monsalve	Stipulation to Revoke Mortgage	Mortgage Loan Originator
	Loan Originator License	License
Santa Clarita, California		
	July 7, 2017	(Financial Code Sections
		22172 and 50327)
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SUDJECI	ACTION	CHARGING SECTIONS
Robin Talia	Order Barring Robin Talia from	Unlawful Finance Lenders Law
	any Position of Employment,	and Residential Mortgage
El Cajon, California	Management or Control of any	Lending Activity
	Finance Lender, Broker, or	
	Mortgage Loan Originator	
		(Financial Code Sections
	Order Barring Robin Talia from	22169 and 50318)
	any Position of Employment,	
	Management or Control of any	
	Residential Mortgage Lender,	
	Residential Mortgage Servicer, or	
	Mortgage Loan Originator	
	Stipulation	
	July 10, 2017	
StoreFront Lenders, LLC aka	Desist and Refrain Orders; and	Unlawful Deferred Deposit
StoreFrontLender.com aka	Citations	Transaction and Finance
DOT818.com aka		Lenders Law Activity
Dot818	July 14, 2017	
		(Financial Code Sections
Glendale, California		23050, 23058, 22712 and
		22707.5)

SUBJECT	ACTION	CHARGING SECTIONS
Tidewater Finance Company	Citation	Unlawful Finance Lenders Law
		Activity
Virginia Beach, Virginia	Orders Rescinding Order Summarily	
	Revoking California Finance	(Financial Code Sections
	Lenders License	22707.5 and 22715)
	July 14, 2017	
Travis Van Brooks	Order Denying Mortgage Loan	Non-Issuance of Mortgage
	Originator Application	Loan Originator License
Charlotte, North Carolina	S are Fr	
,	July 14, 2017	(Financial Code Sections
		50141 and 50141(a)(3))
J & D Financial (West Coast) Corporation	Order Conditionally Granting	Unlawful Finance Lenders Law
	Petition for Reinstatement	Activity
Beverly Hills, California		
	July 14, 2017	(Government Code Section
		11522)
	Order Granting Petition for	
	Reinstatement and Reinstating	
	License	
	July 27, 2017	

SUDJECI	ACTION	CHARGING SECTIONS
GotMortgage.com dba	Settlement Agreement	Unlawful Finance Lenders Law
Performance Capital Group		Activity
	Order Revoking Finance Lenders	
Fountain Valley, California	License	(Financial Code Sections
		22336, 22346, 22156,
	July 18, 2017	22170(b) and 22174(a)(1))
Clement Tak Ng dba	Desist and Refrain Order	Unlawful Offer and Sale of
Lighthouse Capital Preservation		Securities
	July 18, 2017	
San Mateo, California		(Corporations Code Section
		25401)
NGG Financial	Consent Order	Unlawful Finance Lenders Law
		Activity
San Diego, California	July 18, 2017	
		(Financial Code Sections
		22712, 22159 and 22100(a))

SUBJECT	ACTION	CHARGING SECTIONS
James Alexander Seibert	First Amended Accusation in	Mortgage Loan Originator
	Support of Notice of Intention to	License
Roseville, California	Issue Order Revoking Mortgage Loar	
	Originator License of James	(Financial Code Sections
	Alexander Seibert	50513 and 50141)
	July 18, 2017	
Shah Mortgages Incorporated	Consent Order	Unlawful Finance Lenders Law Activity
Schaumburg, Illinois	July 19, 2017	Tienvity
Serverine u.g., Immers	001, 15, 2017	(Financial Code Sections
		22712 and 22159)
Northeastern Realty LLC	Consent Order	Unlawful Finance Lenders Law
		Activity
La Puente, California	July 19, 2017	
		(Financial Code Sections
		22712 and 22159)

SUBJECT	ACTION	CHARGING SECTIONS
Dorian P. Baker aka	Order to Discontinue Violations	Unlawful Escrow Law
Dorian Young		Activity
Ontitle Escrow, Inc.	July 21, 2017	
Santa Ana, California	Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final  Accusation in Support of Order Barring Dorian P. Baker, aka Dorian Young from any Position of Employment, Management or Control of any Escrow Agent  July 25, 2017	(Financial Code Sections 17602, 17414(a)(1), title 10 § 1738 and 1738.2 and 17423)
Wealth by Design USA, Inc.	Order Summarily Revoking Investment Adviser Certificate	Unlawful Investment Adviser Activity
Santa Barbara, California	July 24, 2017	(Corporations Code Section 25242)

SUBJECT	ACTION	CHARGING SECTIONS
Campus Decision Support System Inc.	Desist and Refrain Order	Unlawful Offer and Sale of
Campus DSS		Securities
EDUjudicate		
Ejudicate	July 24, 2017	(Corporations Code Section
Saman Francis Seneviratne		25401)
Paul M. Hanley		
Montclair, California		
El Segundo, California		
Nicholas Stephen Carabba	Order Denying Mortgage Loan	Non-Issuance of Mortgage
	Originator License Application	Loan Originator License
Laguna Hills, California		
	July 24, 2017	(Financial Code Section
		22109.1)
Innovative Mortgage Alliance LLC	Consent Order	Unlawful Finance Lenders Law
		Activity
Draper, Utah	July 25, 2017	
• •		(Financial Code Sections
		22712, 22159 and 22100(a))
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SUBJECT	ACTION	CHARGING SECTIONS
Financial Services Enterprises dba	Order Denying Finance Lender and	Unlawful Finance Lenders Law
Pioneer Capital	Broker License Application	Activity
Quinton Berry		
	Order Barring Quinton Berry from	(Financial Code Sections
Irvine, California	any Position of Employment,	22100(a), 22109(a)(3),
	Management or Control of any	22161(a)(b), 22169(a) and
	Finance Lender, Broker, or Mortgage	22161(b))
	Loan Originator	
	July 25, 2017	
Western Pioneer Financial, Inc.	Notice of Petition for Reinstatement	Unlawful Finance Lenders Law
Clavia California	July 25, 2017	Activity
Clovis, California	July 25, 2017	(Government Code Section
		`
		11522)
Jeffrey Karl Barnhart	Desist and Refrain Order	Unlawful Offer and Sale of
J.K. Barnhart, Inc.		Securities
	July 26, 2017	
Roseville, California		(Corporations Code Section
		25401)

SUBJECT	ACTION	CHARGING SECTIONS
The Escrow Cheque Corp.	Accusation in Support of Orders:	Unlawful Escrow Law
Marcia J. Bouey	1) Revoking Escrow Agent License	Activity
	of The Escrow Cheque Corp.	
Woodland Hills, California	2) Barring Marcia J. Bouey from any	(Financial Code Sections
	Position of Employment,	17608 and 17423)
	Management, or Control of any	·
	Escrow Agent	
	July 27, 2017	
Greater Orange County Escrow Corporation	Accusation in Support of Order	Unlawful Escrow Law
	Suspending Escrow Agent's License	Activity
Tustin, California		
	July 28, 2017	(Financial Code Sections
		17210(a) and 17608)
Gemzo Enterprises, Inc. dba	Desist and Refrain Orders; and	Unlawful Deferred Deposit
Quicken Cash	Citations	Transaction Law Activity
Fullerton, California Stanton, California Santa Ana, California	July 28, 2017	(Financial Code Sections 23050, 22712, 23058 and 22707.5)

SCHOLCI	11011	emmente sections
Troy W. Berkley	Order Denying Application for	Non-Issuance of Mortgage
	Mortgage Loan Originator License	Loan Originator License
Fountain Valley, California		
	July 28, 2017	(Financial Code Sections
		50141, 50141(a)(2) and
		50141(a)(3))
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